

TRANSMITTAL FORM



Application Serial Number	09/256,156
Filing Date	February 24, 1999
First Named Inventor	Gillies
Group Art Unit	1646
Examiner Name	Murphy, J.
Attorney Docket No.	LEX-003
Patent No.	Not applicable
Issue Date	Not applicable

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APR 29 2002
TECH CENTER 1600/2000

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Checks Attached (3) <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input checked="" type="checkbox"/> Petition for Extension of Time (1 page) <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Copy of Patent Office Cover Sheet and attached Notice to File Missing Parts of Application (CPA) (2 pages) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts (1 page)
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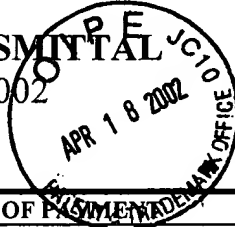
CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

 Date: April 18, 2002
 Reg. No. 41,418
 Tel. No.: (617) 248-7240
 Fax No.: (617) 248-7100
 Patrick R. H. Waller, Ph.D.
 Attorney for Applicants
 Testa, Hurwitz & Thibault, LLP
 125 High Street
 Boston, MA 02110

FEE TRANSMITTAL
FY 2002

Complete if Known

Application Serial Number	09/256,156
Filing Date	February 24, 1999
First Named Inventor	Gillies
Group Art Unit	1646
Examiner Name	Murphy, J.
Attorney Docket No.	LEX-003

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Checks (3) ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.

- ☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims	26	- 20 = 6	x \$ 18.00 =	108.00
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Independent Claims	4	- 3 = 1	x \$ 84.00 =	84.00
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☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 932.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 932.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	-	=	x \$ 18.00 =	
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Indep.	-	=	x \$ 84.00 =	
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☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$0)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	400.00
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 530.00

SUBTOTAL (1) 932.00

SUBTOTAL (2) 0

SUBTOTAL (3) 530.00

TOTAL (\$) 1,462.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110
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 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Patrick R. H. Waller, Ph.D.
 Attorney for Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

Date: April 18, 2002
 Reg. No. 41,418
 Tel. No.: (617) 248-7240
 Fax No.: (617) 248-7100



Express Mail Label No. EL934409417US

PATENT
Attorney Docket No. LEX-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gillies et al.
SERIAL NO.: 09/256,156 GROUP NO.: 1646
FILING DATE: February 24, 1999 EXAMINER: Murphy, J.
TITLE: Enhancing the Circulating Half-Life of Antibody-based Fusion Proteins

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to a "Notice to File Missing Parts" mailed from the U.S. Patent Office on December 18, 2001, Applicants submit the following:

1. check for \$932.00, including the following fees: basic filing fee of \$740.00, \$108.00 and \$84.00 for additional claims;
2. check for \$400.00 for a 2-month extension of time;
3. check for \$130.00 for the surcharge set forth in 37 CFR 1.16(e);
4. Transmittal form(1 pg);
5. Fee Transmittal form (1 pg);
6. Petition for Extension of Time Under 37 CFR 1.136(a) (1 pg);
7. Copy of Patent Office cover sheet dated December 18, 2001, and the attached Notice to File Missing Parts (2 pgs); and
8. return receipt postcard.

Respectfully submitted,

Patrick R. H. Waller, Ph.D.
Attorney for the Applicants
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: April 18, 2002
Reg. No. 41,418

Tel. No.: (617) 248-7240
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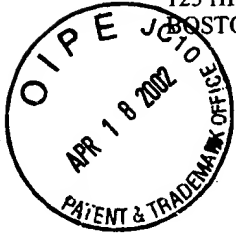
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/256,156	02/24/1999	STEPHEN GILLIES	LEX-003	9492

21323 7590 12/18/2001

TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110



EXAMINER

MURPHY, JOSEPH F

ART UNIT PAPER NUMBER

1646

DATE MAILED: 12/18/2001

18

Please find below and/or attached an Office communication concerning this application or proceeding.

COPY

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DOCKETED Filing Fee

Base Date: 12-18-01
Due Date: 2-18-02
Statutory Period: 6-18-02
Administrator: KM
Date: 12-31-01
Reviewed & Approved:

DEC 26 2001

Reg. Acty

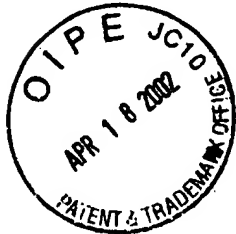
Date



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTY DOCKET NO/TITLE

"EXPRESS MAIL" Mailing Label Number EL934409417US



COPY

DATE MAILED:

RECEIVED
APR 29 2002
TECH CENTER 1600/2900

NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA)
Filing Date Granted

The Continued Prosecution Application (CPA) request filed on 11/16/01 is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

(a) Applicant must submit \$ 740⁰⁰ to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ _____ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is not required (37 CFR 1.28)).

(b) Applicant must submit \$ _____ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

☒ 2. Additional claim fees of

\$ 24⁰⁰ (non-small entity) or \$ _____ (small entity) for 1 independent claims over 3.
\$ 102⁰⁰ (non-small entity) or \$ _____ (small entity) for 6 claims over 20.
\$ _____ (non-small entity) or \$ _____ (small entity) for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.
- ☐ 5. Other: _____

A copy of this Notice MUST be returned with the reply.

Direct the reply and any questions about this Notice to:

Michael A. Brown

Examining Group 1600 AU 1646

(703) 30 8-1132

04/26/2002 GTEFFERA 00000034 09256156

01 FC:131
02 FC:103
03 FC:102

740.00 OP
108.00 OP
84.00 OP

04/26/2002 GTEFFERA 00000035 09256156

01 FC:105

130.00 OP